

43

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete If Known	
Application Number	10/810,768	
Filing Date	March 26, 2004	
First Named Inventor	William F. Niland	
Art Unit	3743	
Examiner Name	Aaron J. Lewis	
Attorney Docket No.	HQS-107US	

	U.S. PATENT DOCUMENTS					
	Cite No.1 Number - Kind Code <sup>2 (if kn</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or	Pages, Columns, Lines, Where	
		Number - Kind Code <sup>2 (if known)</sup>		Applicant of Cited Document	Relevant Passages or Relevan Figures Appear	
all		US-3,616,796	11/1971	Jackson		
7		US-3,912,795	10/1975	Richard R. Jackson		
		US-4,137,940	02/1979	Yves Faisandier		
		US-4,201,204	05/1980	Rinne et al.		
		US-4,708,831	11/1987	Elsworth et al.		
		US-5,062,145	10/1991	Zwaan et al.		
	İ	US-5,218,833	06/1993	Newbold	1	
		US-5,769,071	06/1998	Christopher S. Turnbull		
	-	US-6,367,472 B1	04/2002	Koch		
		US-2002/0195104	12/2002	Fini et al.		
		US-6,653,012 B2	11/2003	Suzuki et al.		
		US-6,877,510	04/2005	Kazufuku Nitta		
	<del></del>	US-6,904,911	06/2005	Lucio Gibertoni		
		US-2005/0121038	06/2005	Christopher, Kent L.		
		US-2005/0178383 A1	08/2005	Mackie et al.		
0110		US-6,976,489	12/2005	Mantell et al.		
74.112		US-6,988,497	01/2006	Walter Levine		

		FOREIGN PAT	ENT DOCUMENT	TS			
Examiner Cite Initials* No.1		Foreign Patent Document		Name of Patentee o	r   Line	Pages, Columns, Lines, Where Relevant	
	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (17 known)</sup>	Publication Date (MM-DD-YYYY)	Applicant of Cited Document		Passages or Relevant Figures Appear		
					_		<u> </u>
							1-1-
	-						1-
							<u>  L</u>
						<u> </u>	1-
	T 1	$\sim$		Date	T -	1-1	
Examiner Signature	(0)	ara. J. Fey		Considered	10	11/06	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not ditation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

'Applicant's unique citation designation number (optional).

'See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

'Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

'Applicant's to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	Complete If Known			
	Application Number	10/810,768	ί.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	March 26, 2004		
	First Named Inventor	William F. Niland		
	Art Unit	3743		
<u> </u>	Examiner Name	Aaron J. Lewis		
SHEET 2 of 2	Attorney Docket No.	HQS-107US		

NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²	
a.D.L		Abstract - "EFFECT OF VAPOTHERM <sup>R</sup> , A HIGH-FLOW HUMIDIFIED O <sup>2</sup> DELIVERY DEVICE, ON BREATHING IN COPD PATTERNS DURING EXERCISE, Nugent T. Vance, G. Criner GJ, Chatlia W. Div Plum and Crit Care, Temple School of Medicine, Phila., PA, (Reprinted from American Journal of Respiratory and Critical Care Medicine Vol. 165, No. 8, Part 2, April 2002, p. A592.		
a.D		International Search Report for PCT/US05/09556, search date July 6, 2005		
	-			

A A . A		
Examiner Signature Harren Fern	Date Considered 10 1 76	
Signote: C		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

'Applicant's unique citation designation number (optional).

'Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.